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**[www.bartlett1898.com](http://www.bartlett1898.com)**

**Brochure Supplement  
(Part 2B, Form ADV)**

**January 1, 2024**

**CRD # 292246**

**Bartlett Investment Advisors (Supervised Persons)**

Brian F. Antenucci, Matthew J. Buse, Michael S. Cambron, Laura A. Cuber, Nicholas A. D'Andrea, Robert H. Dearborn, Keith E. Dershem, Kayla C. Deyer, Justin M. Ellis, Peter W. Flanzer, David P. Francis, James B. Hagerty, Michael A. Herst, Mary D. Jones, Jason M. Katz, Krista K. Linn, Melissa Mabley Martin, Martin N. McClelland, Holly H. Mazzocca, Catherine L. Miller, Steven T. Naiser, Kailyn N. Paolucci, Kyle W. Pohlman, Lori B. Poole, Aliya L. Riddle, Christopher D. Robbins, Craig M. Sarembock, Kenneth L. Schlachter, Gina P. Slayton, Troy R. Snider, Woodrow H. Uible, and Brian M. Walker.

**This brochure supplement provides information about the above-named individual Investment Advisors that supplements the Bartlett Wealth Management brochure. You should receive a copy of that brochure as well. Please contact Kimberly Geary, Chief Compliance Officer, if you desire a copy of that brochure or if you have any questions about the contents of this supplement.**

**Additional information about Bartlett Wealth Management also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Education and Business Standards**

In general, Bartlett & Co Wealth Management, LLC (“Bartlett”) requires individuals making decisions on client assets or giving investment advice to have, at a minimum, an undergraduate college degree, prior experience in investment advisory services of at least three years, and hold (or be pursuing) one of the following designations: Certified Financial Analyst (CFA®), Certified Financial Planner (CFP®), or Masters of Business Administration (MBA).

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Information regarding the Professional designations mentioned in this Brochure:

### **AEP®**

The Accredited Estate Planner (AEP®) is a graduate level specialization in estate planning. It is obtained in addition to already recognized professional credentials within the various disciplines of estate planning.

### **CFA®**

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients’ interests ahead of their own.
- Maintain independence and objectivity.
- Act with integrity.
- Maintain and improve their professional competence.
- Disclose conflicts of interest and legal matters.

### **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning, skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit <http://www.cfainstitute.org>.

## **CEPA®**

The Certified Exit Planning Advisor (CEPA®) program is an executive MBA-style program that teaches the Value Acceleration Methodology. Those who earn the designation have the ability to identify risks associated with an owner's business, build value prior to a transition, and align an owner's business, personal, and financial goals.

Prerequisites to be accepted into the program include at least five years of experience working directly as a professional advisor and a bachelor's degree from a qualifying institution. Candidates must also be a member in good standing with the Exit Planning Institute and complete 40 hours of continuing education every three years.

## **CFP®**

In order to achieve and maintain certification, CFP® professionals must: 1) pass the comprehensive CFP Certification Examination, 2) pass the CFP Board's Fitness Standards for Candidates and Registrants, 3) agree to abide by CFP Board's Code of Ethics and Professional Responsibility and Rules of Conduct which put clients' interests first, 4) comply with the Financial Planning Practice Standards which spell out what clients should be able to reasonably expect from the financial planning engagement, and 5) complete 30 hours of continuing education (including 2 hours of approved Ethics CE) every two years.

CFP® professionals must pass the comprehensive CFP® Certification Examination, pass CFP Board's Fitness Standards for Candidates and Registrants, agree to abide by CFP Board's Code of Ethics and Professional Responsibility and Rules of Conduct which put clients' interests first and comply with the Financial Planning Practice Standards which spell out what clients should be able to reasonably expect from the financial planning engagement. - See more at: <http://www.cfp.net/become-a-cfp-professional/cfp-certification-requirements#sthash.qwXJz3yF.dpuf>.

## **ChFC®**

A Chartered Financial Consultant is a professional designation representing the completion of a comprehensive course consisting of financial education, examinations, and practical experience. Chartered Financial Consultant designations are granted by the American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice.

## **CPWA®**

The Certified Private Wealth Advisor certification is an advanced credential created specifically for wealth managers who work with high-net-worth individuals, focusing on the lifecycle of wealth accumulation, preservation, and distribution.

Candidates who earn the certification understand how to develop specific strategies to minimize taxes, monetize and protect assets, maximize growth, and transfer wealth.

## **CIC®**

The Chartered Investment Counselor (CIC) charter is a professional designation established in 1975 and awarded by the Investment Adviser Association (IAA). The Charter was designed to recognize the special qualifications of persons employed by IAA member firms whose primary duties involve investment counseling and portfolio management.

A key educational component of the program is the requirement that candidates hold the Chartered Financial Analyst (CFA®) designation, administered by CFA Institute (see <http://www.cfainstitute.org/cfaprogram/Pages/index.aspx>). In addition to successful completion of the CFA program, the CIC designation requires candidates to demonstrate significant experience (at least 5 cumulative years) in a position performing investment counseling and portfolio management responsibilities. At the time the charter is awarded, candidates must be employed by an IAA member firm in a such a position, must provide work and character references, must endorse the IAA's Standards of Practice, and must provide professional ethical information.

## **CPA**

A Certified Public Accountant (CPA) is a designation provided to licensed accounting professionals committed to protecting the public interest. The CPA license is provided by the Board of Accountancy for each state. The American Institute of Certified Public Accountants (AICPA) provides resources on obtaining the license. The CPA Exam is comprised of four separate rigorous exams referred to as sections, and it's administered by the AICPA. The four sections of the exam are Auditing and Attestation, Financial Accounting and Reporting, Regulation, and Business Environment and Concepts.

## **CAIA®**

The Chartered Alternative Investment Analyst (CAIA®) program provides individuals with the core competencies required to create, manage, and monitor an institutional-quality portfolio consisting of both traditional and alternative investments.

Candidates' knowledge is assessed through exams, administered globally at computerized testing centers. The program is organized into two levels of study; the Level I and Level II exams are offered twice each year, in March and September, giving candidates the opportunity to earn the CAIA designation within a single year. Once a qualified candidate completes the CAIA program, he or she is eligible for CAIA membership.

## **CDFA®**

Certified Divorce Financial Advisors (CDFA's) are licensed by the Institute for Divorce Financial Analysts. Eligibility Requirements: Divorce Financial Planning is the application of the discipline of financial planning to settlement strategies in divorce. The process requires the synthesis of tax, insurance, retirement, and other areas of knowledge with their specific application to divorce. The eligibility requirements were established by the Board of Advisors and reflect the fact that this is not an entry-level designation but an advanced program. At the July 2020 Board of Advisors meeting the following changes were made to the initial eligibility requirements. Candidates must have a bachelor's degree with three years of on-the-job experience or if no bachelor's degree, five years of relevant experience. Experience has been defined as the following:

- Financial Planning
- Family Law Practice or  
Three or more of the following:
- Experience with the tax code
- Investment advisory or management
- Real Estate, mortgage and reverse mortgage lending
- Life and disability insurance
- Financial therapist or coach

All candidates must pass an exam that covers professional responsibilities, divorce law and terminology, property and taxation, retirement plans and taxation, social security and other government benefits, spousal and child support taxation, financial analysis and planning, insurance and risk management, employee benefits, debt, credit, and bankruptcy, and specialty areas. Additionally, CDFA® professionals must maintain a comprehensive knowledge of financial planning as it relates to divorce. To retain the CDFA® designation, all members must complete 30 hours of divorce-related CE credit every two years.

### **CRPC®**

Chartered Retirement Planning Counselor (CRPC) is a professional designation awarded by the College for Financial Planning to candidates who successfully complete its study program and pass a final examination.

### **CPFA®**

The Certified Plan Fiduciary Advisor CPFA® – developed by some of the nation's leading advisors and retirement plan experts – Holders of the CPFA® encompass knowledge, expertise and commitment to working with retirement plans. Plan advisors who earn their CPFA® demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities.

### **JD**

The Juris Doctor (JD) is a three-year professional degree that confers recognition that the holder has a professional degree in law. A JD must have a bachelor's degree or higher before being considered for admittance into law school. The JD program consists of a required core curriculum that candidates must pass in order to graduate. General requirements include:

- Earn credit for at least 90 semester hours of law study.
- Obtain a minimum GPA of 2.25 and,
- Earn a minimum of 3 clinical and/or externship credit hours.

## **MBA**

A Masters of Business Administration (MBA) is a graduate degree that provides theoretical and practical training for business or investment management. An MBA is designed to help graduates gain a better understanding of general business management functions. It benefits those in positions in business and management, especially those in executive positions.

## **RLP®**

A Registered Life Planner (RLP®) is a designation for financial professionals who specialize in the human side of financial planning. The Kinder Institute of Life Planning runs the program and boasts that more than 500 advisors have earned the designation globally. Those who hold an RLP® designation have completed the Kinder Institute's entire course of study, including The Seven Stages of Money Maturity Training, EVOKE Life Planning Training, and the RLP® mentorship program.

To qualify for the RLP designation, applicants must complete three training courses set forth by The Kinder Institute. Here is a brief overview of what they are and how much time an advisor must devote to each training:

The Seven Stages of Money Maturity Training (16 hours).

EVOKE Life Planning Training (36-40 hours).

Life Planning Mentorship (40-50 hours).

Applicants may begin the coursework to become an RLP by taking the EVOKE Life Planning Training or The Seven Stages of Money Maturity Training. After earning the RLP designation, the planner is expected to complete eight hours of continuing education every two years.

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**Brian F. Antenucci (CRD# 4898045)**  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Brian and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Brian is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1981

Education: University of Dayton, BS

Business Experience: Bartlett Wealth Management; Investment Advisor; 2018  
Bartlett & Co.; Investment Advisor; 2012

Designations: CFA®

### **Item 3 – Disciplinary Information**

Brian has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Brian is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Brian may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Matthew J. Buse (CRD# 6079618)**  
**Wealth Advisor, Investment Specialist**

### **Item 1 – Cover Page**

This brochure supplement provides information about Matthew and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Mathew is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1991

Education: University of Dayton, BS  
Northwestern University, MBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2023  
BI Capital Partners, Senior Analyst, 2018  
CYMI, Ltd., Investment Analyst, 2013  
Davis Center for Portfolio Management, Securities Analyst, 2010

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Matthew has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Matthew is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Matthew receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).



**Michael S. Cambron** (CRD# 38813)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Mike and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Mike is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1943

Education: Miami University, BS

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2018  
Bartlett & Co.; Investment Advisor; 1969

Designations: CFA®

### **Item 3 – Disciplinary Information**

Mike has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Mike is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Mike receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

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**Laura A. Cuber** (CRD# 6779307)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Laura and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Laura is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1986

Education: Washington University in St. Louis

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2022  
Mercer Advisors, Wealth Advisor, 2017  
Kanaly Trust, LTA, Wealth Strategist, 2014

Designations: CFP®

### **Item 3 – Disciplinary Information**

Laura has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Laura is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Laura receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

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**Nicholas A. D'Andrea** (CRD# 6664201)  
**Financial Planning Associate**

### **Item 1 – Cover Page**

This brochure supplement provides information about Nick and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Nick is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1993

Education: The Ohio State University, BS

Business Experience: Bartlett Wealth Management; Financial Planning Associate; 2021  
Fidelity Brokerage Services, LLC; Financial Representative; 2016

Designations: CFP®

### **Item 3 – Disciplinary Information**

Nick has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Nick is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Nick receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

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**Robert H. Dearborn (CRD# 2087629)**  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Bob and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Bob is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1959

Education: Kenyon College, BA  
University of Chicago, MBA

Business Experience: Bartlett Wealth Management; Director, Senior Portfolio Manager; 2019  
Lodestar Investment Counsel; Investment Advisor; 1991

Designations: CFA®, CIC®, MBA

### **Item 3 – Disciplinary Information**

Bob has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Bob is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Bob receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Keith E. Dershem** (CRD# 6179415)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Keith and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Keith is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1978

Education: Miami (OH) University, BS

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2021  
U.S. Bank; Private Wealth Adviser; 2020  
Fifth Third Securities; Registered Representative; 2001

Designations: CFP®, AEP®

### **Item 3 – Disciplinary Information**

Keith has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Keith serves on the Board of the Society of St. Vincent de Paul. He receives no compensation for his service nor does his service require a substantial amount of his time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Keith receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

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**Kayla C. Deyer** (CRD #6499237)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Kayla and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Kayla is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1992

Education: University of Louisville, BS

Business Experience Bartlett Wealth Management – Wealth Advisor, 2023  
Mercer Wealth Management Group - Wealth Advisor, 2021  
Merrill Lynch Wealth Management – Financial Advisor, 2015

Designations: CFP®, ChFC®, CRPC®, CPFA®

### **Item 3 – Disciplinary Information**

Kayla has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Kayla is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Kayla receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Louisville-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Justin M. Ellis** (CRD# 7533590)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Justin and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Justin is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1989

Education: The University of Louisville, BS

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2022  
Fifth Third Bank; Portfolio Manager; 2015  
Fifth Third Bank; Associate Portfolio Manager; 2013  
Fifth Third Bank; Account Specialist; 2013  
Republic Bank; Customer Service Representative; 2012

Designations: CFA®, CFP®

### **Item 3 – Disciplinary Information**

Justin has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Justin is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Justin receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

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**Peter W. Flanzer** (CRD# 2159902)  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Peter and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Peter is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1958

Education: Kenyon College, BA  
John Marshall Law School, JD

Business Experience: Bartlett Wealth Management; Director, Senior Portfolio Manager; 2019  
Lodestar Investment Counsel, Investment Advisor; 2003

Designations: JD

### **Item 3 – Disciplinary Information**

Peter has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Peter is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Peter receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).



**David P. Francis** (CRD# 1890447)  
**Investment Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Dave and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Dave is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1965

Education: Ball State University, BS  
Xavier University, MBA

Business Experience: Bartlett Wealth Management; Investment Advisor; 2018  
Bartlett & Co.; Investment Advisor; 1989

Designations: MBA

### **Item 3 – Disciplinary Information**

Dave has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Dave is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Dave may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**James B. Hagerty** (CRD# 2546633)  
**CEO, Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Jim and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Jim is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Education Background and Business Experience**

Born: 1967

Education: University of Dayton, BS

Business Experience Bartlett Wealth Management; Executive Committee, Investment Advisor; 2018  
Bartlett & Co.; Investment Advisor; 1994

Designations: CFA®

### **Item 3 – Disciplinary Information**

Jim has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Jim is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Jim may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Michael A. Herst** (CRD# 1955024)  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Mickey and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Mickey is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Education Background and Business Experience**

Born: 1955

Education: University of Illinois School of Business, BS  
Loyola University-Chicago, JD

Business Experience: Bartlett Wealth Management; Director, Senior Portfolio Manager; 2019  
Lodestar Investment Counsel; Investment Advisor; 2015  
Concord Asset Management; Investment Advisor; 1996

Designations: CFA®, JD

### **Item 3 – Disciplinary Information**

Mickey has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Mickey is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Mickey receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Mary D. Jones (CRD #3235471)**  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Mary and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Mary is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1965

Education: University of Louisville, BSBA Finance

Business Experience: Bartlett Wealth Management – Director, Senior Portfolio Manager, 2023  
Self Employed – Consultant 7/2013-6/2023  
Regent Investment Management, LLC – Portfolio Manager, 2013  
Atlas Brown Investment Advisors, Inc. – 2005 Executive Vice President

Designations: CFA®

### **Item 3 – Disciplinary Information**

Mary has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Mary is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Mary receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Louisville-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Jason M. Katz** (CRD# 4919911)  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Jason and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Jason is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1981

Education: Indiana University, BS

Business Experience: Bartlett Wealth Management; Senior Wealth Planner, Wealth Advisor; 2018  
Bartlett & Co.; Senior Wealth Planner, Investment Advisor; 2017  
The Gorilla Glue Company; Director of Finance and Taxation, 2009

Designations: CFP®, CEPA®, CPA

### **Item 3 – Disciplinary Information**

Jason has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Jason is the CFO and minority owner of Cardinal Spirits, LLC.

### **Item 5 – Additional Compensation**

Jason may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Krista K. Linn (CRD# 1477970)**  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Krista and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Krista is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1962

Education: Illinois State University, BS

Business Experience: Bartlett Wealth Management, Wealth Advisor 2019  
Lodestar Investment Counsel; Investment Advisor; 2015  
Concord Asset Management; Investment Advisor; 1996

Designations: CFA®

### **Item 3 – Disciplinary Information**

Krista has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Krista is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Krista receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Melissa Mabley Martin** (CRD# 5550002)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Melissa and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Melissa is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1979

Education: Indiana University, BA  
University of Michigan – Steven Ross School of Business, MBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2019  
Kovitz Investment Group Partners, LLC; Registered Representative; 2015  
RMB Capital Management; Client Associate; 2012  
US Trust; Private Client Manager

Designations: CFP®, CDFA®, MBA

### **Item 3 – Disciplinary Information**

Melissa has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Melissa is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Melissa receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Chicago-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Martin N. McClelland** (CRD #2599603)  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Martin and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Martin is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1960

Education: Purdue University – BS  
Harvard University – MBA

Business Experience: Bartlett Wealth Management – Director, Senior Portfolio Manager, 2023  
Regent Investment Management, LLC – Portfolio Manager, 2012  
Mainstream Investment Advisers - 2006

Designations: MBA

### **Item 3 – Disciplinary Information**

Martin has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Martin is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Martin receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Louisville-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).



**Holly H. Mazzocca** (CRD# 5569415)  
**President, Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Holly and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Holly is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1986

Education: Indiana University, BS  
Northwestern University, MBA

Business Experience: Bartlett Wealth Management; Executive Committee, Investment Advisor; 2018  
Bartlett & Co., LLC; Investment Advisor; 2015  
JHL Capital Group, LLC; Vice President, Business Development & Investor  
Relations Associate; 2011  
JP Morgan; Asset Management Analyst, Private Bank; 2008

Designations: CFP®, MBA

### **Item 3 – Disciplinary Information**

Holly has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Holly is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Holly may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

James B. Hagerty supervises the annual performance review for Holly. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Catherine L. Miller** (CRD# 7237200)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Catherine and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Catherine is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1988

Education: John Carroll University, BA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2012

Designations: CFP®

### **Item 3 – Disciplinary Information**

Catherine has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Catherine is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Catherine receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Steven T. Naiser** (CRD #4332041)  
**Director, Senior Portfolio Manager**

### **Item 1 – Cover Page**

This brochure supplement provides information about Steven and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett's brochure or if you have questions about the contents. Additional information about Steven is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1962

Education: Eastern Kentucky University – BA  
University of Washington – MBA

Business Experience: Bartlett Wealth Management – Director, Senior Portfolio Manager, 2023  
Regent Investment Management, LLC – Portfolio Manager, 2012  
Mainstream Investment Advisers – 2006  
Regent Investment Group, Inc. Vice President and Portfolio Manager 2000

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Steven has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Steven is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Steven receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Louisville-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Kailyn N. Paolucci** (CRD# 6538648)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Kailyn and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Kailyn is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1992

Education: Northern Kentucky University, BS  
Thomas More University, MBA

Business Experience: Bartlett Wealth Management; Associate Wealth Advisor; 2017  
Fidelity Investments; Service Specialist; 2015  
Jared: The Galleria of Jewelry; Sales Manager; 2012

Designations: CFP®, CPWA®, MBA

### **Item 3 – Disciplinary Information**

Kailyn has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Kailyn is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Kailyn receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through frequent interaction, review of trading blotters and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Kyle W. Pohlman** (CRD# 4653608)  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Kyle and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Kyle is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1983

Education: University of Cincinnati, BBA

Business Experience: Bartlett Wealth Management; Executive Committee Wealth Advisor; 2018  
Bartlett & Co.; Investment Advisor; 2003

Designations: CFA®; CAIA®

### **Item 3 – Disciplinary Information**

Kyle has no professional disciplinary disclosures.

### **Item 4 – Other Business Activity**

Kyle is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Kyle may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Lori B. Poole** (CRD# 6657517)  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Lori and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Lori is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1986

Education: University of Cincinnati, BBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2018  
Bartlett & Co.; Investment Advisor; 2009

Designations: CFP®, RLP®

### **Item 3 – Disciplinary Information**

Lori has no professional disciplinary disclosures.

### **Item 4 – Other Business Activity**

Lori is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Lori may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Aliya L. Riddle** (CRD# 5433061)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Aliya and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Aliya is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1984

Education: University of Notre Dame, BBA

Business Experience: Bartlett Wealth Management; Investment Advisor; 2018  
Johnson Investment Counsel; Associate Portfolio Manager; 2010  
JP Morgan Chase; Personal Banker; 2008

Designations: CFA®

### **Item 3 – Disciplinary Information**

Aliya has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Aliya serves as a mayor-appointed trustee of the City of Cincinnati Retirement System Pension Board. She receives no compensation for her service nor does this service require a substantial amount of her time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Aliya receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Christopher D. Robbins (CRD# 5210742)**  
**Investment Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Chris and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Chris is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1984

Education: University of Cincinnati, BA  
Xavier University, MBA

Business Experience: Bartlett Wealth Management; Investment Advisor; 2018  
Bartlett & Co. LLC; Investment Advisor; 2012

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Chris has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Chris is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Chris may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).



**Craig M. Sarembock (CRD# 4439884)**  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Craig and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Craig is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1978

Education: Princeton University, BA  
Columbia University, MBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2018  
Bartlett & Co. LLC; Investment Advisor; 2012

Designations: MBA

### **Item 3 – Disciplinary Information**

Craig has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Craig is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Craig may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 - Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Kenneth L. Schlachter** (CRD# 1078249)  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Ken and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Ken is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1962

Education: University of Cincinnati, BBA  
Xavier University, MBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2018  
Bartlett & Co.; Investment Advisor; 1982

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Ken has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Ken is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Ken may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Gina P. Slayton** (CRD# 6619682)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Gina and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Gina is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1992

Education: University of Louisville, BS

Business Experience: Bartlett Wealth Management, 2023  
Stock Yards Bank Wealth Management, Financial Planner 2019  
Bluecap Financial, Financial Planner 2017  
Financial Partners Group, Financial Advisor 2016  
PNC Wealth Management, Associate Investment Advisor 2014

Designations: CFP®

### **Item 3 – Disciplinary Information**

Gina has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Gina is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Gina receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Troy R. Snider** (CRD# 2145316)  
**Investment Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Troy and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Troy is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1960

Education:                   Rose Hulman Institute of Technology, BSME  
                                  Indiana University, MBA

Business Experience:   Bartlett Wealth Management; Investment Advisor; 2018  
                                  Bartlett & Co.; Investment Adviser; 1991

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Troy has no professional disciplinary disclosures.

### **Item 4 – Other Business Activity**

Troy is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Troy may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Woodrow H. Uible (CRD# 1062851)**  
**Wealth Advisor, Principal**

### **Item 1 – Cover Page**

This brochure supplement provides information about Woody and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Woody is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1953

Education: University of Cincinnati, BS

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2018  
Bartlett & Co.; Investment Advisor; 1980

Designations: CFA®, RLP®

### **Item 3 – Disciplinary Information**

Woody has no professional disciplinary disclosures.

### **Item 4 – Other Business Activities**

Woody is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Woody may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Bartlett.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).

**Brian M. Walker** (CRD# 7249982)  
**Wealth Advisor**

### **Item 1 – Cover Page**

This brochure supplement provides information about Brian and should be included with Bartlett's main brochure. Please contact Kimberly Geary if you did not receive a copy of Bartlett Wealth Management's brochure or if you have questions about the contents. Additional information about Brian is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Education Background and Business Experience**

Born: 1986

Education: University of Cincinnati, BBA  
University of Cincinnati, MBA

Business Experience: Bartlett Wealth Management; Wealth Advisor; 2019  
PNC Wealth Management; Investment Advisor; 2014  
Stanley Black & Decker; Senior Analyst; 2014  
General Electric; Analyst/Auditor; 2010

Designations: CFA®, MBA

### **Item 3 – Disciplinary Information**

Brian has no professional disciplinary disclosures.

### **Item 4 – Other Business Activity**

Brian is not engaged in any other business or occupation that provides meaningful compensation or requires a substantial amount of time.

### **Item 5 – Additional Compensation**

Bartlett is required to disclose information regarding any arrangement under which Brian receives an economic benefit from someone other than a client for providing investment advisory services. Bartlett has no information to disclose in relation to this Item.

### **Item 6 – Supervision**

Holly H. Mazzocca supervises the annual performance review for Bartlett's Cincinnati-based investment advisers and financial planners. Kimberly Geary, Chief Compliance Officer supervises the compliance related activities of Bartlett investment advisers through office interaction, review of trading blotters, and in her role on the Bartlett Investment Oversight Committee. Kimberly Geary can be reached at (312) 630-9666 x7777 or [KGeary@Bartlett1898.com](mailto:KGeary@Bartlett1898.com).