

# FACTS

## WHAT DOES BARTLETT & CO WEALTH MANAGEMENT, LLC (“BARTLETT”) DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, name, address, and income
- Assets, account balances, and account transactions
- Investment experience and risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

### How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Bartlett chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Bartlett share? | Can you limit this sharing? |
|--|----------------------|-----------------------------|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                  | No                          |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes                  | No                          |
| <b>For joint marketing with other financial companies</b>  | No                   | We don’t share              |
| <b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences   | Yes                  | No                          |
| <b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness   | No                   | We don’t share              |
| <b>For non-affiliates to market to you</b>   | No                   | We don’t share              |

### Questions?

Call 513-621-4612 or go to [www.bartlett1898.com](http://www.bartlett1898.com)

| Who we are   |   |
|--|---|
| Who is providing this notice?  | Bartlett & Co. Wealth Management, LLC (“Bartlett”)  |
| What we do   |   |
| How does Bartlett protect my personal information?   | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.   |
| How does Bartlett collect my personal information?   | <p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ open an account or enter into an investment advisory contract</li> <li>■ give us your income information or provide employment information</li> <li>■ tell us about your portfolio or give us your contact information</li> </ul> <p>We also collect your personal information from other companies.</p>      |
| Why can’t I limit all sharing?   | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates’ everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| What happens when I limit sharing for an account I hold jointly with someone else?   | Your choices will apply to everyone on your account—unless you tell us otherwise.   |
| Definitions  |   |
| Affiliates   | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>Our affiliates include Focus Operating, LLC and Focus Client Solutions, LLC</i></li> </ul>   |
| Non-affiliates   | <p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>Bartlett does not share with non-affiliates so they can market to you.</i></li> </ul>  |
| Joint marketing  | <p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ <i>Bartlett does not jointly market.</i></li> </ul>  |
| Other important information  |   |
| <ul style="list-style-type: none"> <li>■ We are an affiliate of Focus Financial Partners, LLC (“Focus”) and we and other affiliates of Focus share certain of your personal information with an unaffiliated third party for the purpose of aggregating it and providing summary information based on this data to our parent company Focus</li> <li>■ Non-affiliates that we share with can include companies that aggregate data for reporting purposes</li> </ul> |   |